

2012 IECC
(International Energy Conservation Code)
Compliance
Documentation

Career Development Seminar
State of Connecticut
Office of Education and Data Management
June 2015

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Responsibilities

- Designer / Design Profession
 - Develop construction documents that comply
 - Provide compliance documentation
- Building Official
 - Verify compliance of construction documents
 - Inspect as built conditions for compliance
- Contractors
 - Complete project that functions as intended and is in compliance with the energy code

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Why Compliance Documentation?

- Code requirements
- Facilitates permit review
- Communicates code compliance and project requirements to:
 - Building officials
 - Contractors and sub contractors
 - Suppliers

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Sections R103 & C103

Information on construction documents

- Insulation materials and their R-values
- Fenestration U-factors and SHGCs
- Area-weighted U-factor and SHGC calculations
- Mechanical system design criteria
- Mechanical and service water heating system and equipment types, sizes and efficiencies
- Economizer description
- Equipment and systems controls
- Fan motor horsepower (hp) and controls
- Duct sealing
- Duct and pipe insulation and location
- Lighting fixture schedules with wattage and control narrative
- Air seal details

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Compliance Documentation

For Residential Projects

- Identify the code to be complied with
- Approaches
 - Project requirements scattered throughout the plans
 - REScheck
 - Forms from codes/standards organization
 - Forms as developed by designer or design professional

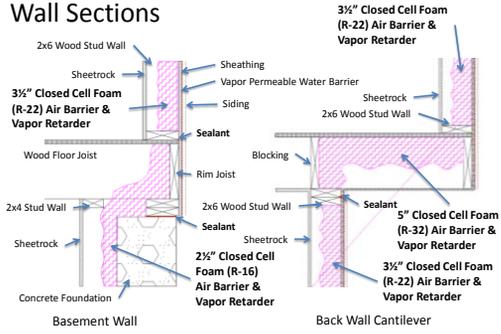
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Sample Residential Project



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Sample Residential Project Wall Sections



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Sample Residential Project General Envelope Notes

- Fenestration rating certificates are to be left on the product until the leakage test is performed.
- Thermal envelope to be leakage tested after all utility penetrations have been completed and the air barrier and insulation have been installed.
- Verified air leakage rate shall be equal to or less than 3 air changes per hour. Leaks shall be sealed and test shall be repeated until this leakage rate is achieved.

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Sample Residential Project Heating and Cooling System Notes

- Heat loss and heat gain calculations to be provided.
- All ducts and piping to be inside the thermal envelope.
- Programmable thermostat to be provide for each zone.
- All ducts and air handlers are to be sealed.
- All piping to have R-3 insulation.
- Fully ducted energy recovery system with minimum 2.8cfm/watt efficiency to be provided.

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Sample Residential Project Other System Notes

- Plumbing system
 - Automatic circulating hot water system to be provided.
 - All hot water piping to have R-3 insulation.
- Lighting system
 - CFL or LED lamps to be installed in all fixtures

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Sample Residential Project REScheck



Project: 18 Charlie Circle

Address: 1883 RD
 City: Shelton, Connecticut
 Project Type: Roof Consultant
 Installation Date: 1/1/15
 Building Area: 1276
 Climate Zone: 3 - 18488-1000
 Report Date: 6/4/15
 Report Number: 1883 RD
 Construction Date: 0
 Owner/Agent: Design/Contractor

Wall: Wood Frame, 24in. o.c.
 Window: Wood Frame, 2 Pane w/ Low E
 Window: Wood Frame, 2 Pane w/ Low E
 Door: Solid
 Door: Solid
 Door: Solid
 Ceiling: Cathedral
 Ceiling: Flat or Scissor Truss
 Floor: All-Wood joist/Truss Over Uncond. Space
 Floor: All-Wood joist/Truss Over Outside Air
 Basement: Solid Concrete or Masonry
 Wall Height: 8.0'
 Depth below grade: 5.0'
 Insulation depth: 8.0'

Envelope Assemblies

Assembly	U-Value	Area	Volume	Volume Weighted U-Value
WB Wood Frame, 24in. o.c.	0.22	22.0	0.0	0.22
Window: Wood Frame, 2 Pane w/ Low E	0.30	10.0	0.0	0.30
Window: Wood Frame, 2 Pane w/ Low E	0.30	10.0	0.0	0.30
Door: Solid	0.30	10.0	0.0	0.30
Door: Solid	0.30	10.0	0.0	0.30
Door: Solid	0.30	10.0	0.0	0.30
Ceiling: Cathedral	0.14	0.0	0.0	0.14
Ceiling: Flat or Scissor Truss	0.14	0.0	0.0	0.14
Floor: All-Wood joist/Truss Over Uncond. Space	0.14	0.0	0.0	0.14
Floor: All-Wood joist/Truss Over Outside Air	0.14	0.0	0.0	0.14
Basement: Solid Concrete or Masonry	0.14	0.0	0.0	0.14

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Sample Residential Project REScheck



Requirements: 2012 ICC, with amendments listed in the REScheck software.
 List of the "Commissioning" options is provided by the user in the REScheck Requirements screen. For each requirement, the user indicates that a code requirement is met and how that is documented or that an exception is being claimed. Where compliance is claimed in a separate table, a reference to that table is provided.

Requirement	Approved	Not Approved	Comments	Commissioning/Exception
101.1 Construction documents and specifications shall be prepared by a registered professional engineer or architect.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Check for	Check Applicable
101.2 Construction documents and specifications shall be prepared by a registered professional engineer or architect.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Check for	Check Applicable
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101.10 Construction documents and specifications shall be prepared by a registered professional engineer or architect.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Check for	Check Applicable

Additional Comments/Exceptions:



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Additional Comments/Exceptions:

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Sample Commercial Project Envelope Notes/Details

Window Schedule						
ID	Description	Width	Height	U-Factor	SHGC	Air Leakage Rate (cfm/sf)
W-1	Metal frame with fixed double pane glass and thermal break	14'-0"	5'-6"	0.38	0.40	0.20
W-2	Metal frame with fixed double pane glass and thermal break	3'-9"	8'-0"	0.38	0.40	0.20
W-3	Metal frame with fixed double pane glass and thermal break	3'-6"	5'-6"	0.38	0.40	0.20
W-4	Metal frame with fixed double pane glass and thermal break	9'-0"	8'-0"	0.38	0.40	0.20

Door Schedule						
ID	Description	Width	Height	U-Factor	SHGC	Air Leakage Rate (cfm/sf)
D-1	Double commercial glazed swinging entrance door	6'-6"	8'-0"	0.77	0.40	1.00
D-2	Insulated metal door	3'-6"	8'-0"	0.37	-	0.20

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Sample Commercial Project Envelope Notes/Details

- Air barrier shall be continuous over all surfaces.
- Air barrier sealing details for penetrations and fenestration shall be followed.
- Doors in vestibule shall be equipped with self-closing devices

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Sample Commercial Project Mechanical Schedules/Notes

Rooftop Unit Schedule (Natural Gas Heat)															
ID	Nominal Capacity (tons)	Supply Fan				Cooling Coil			Heating Section			Efficiencies			
		Min. HP (Belt)	Min. HP (E.S.P.)	Speed Control	TH (MBH)	SH (MBH)	EAT (D&WB) (MBH)	Min. Input (MBH)	Min. Output (MBH)	Min. No. Stages	Outdoor Air (CFM)	Cooling (EER)	Cooling (EER)	Heating (SEER)	Heating (SEER)
RTU-1	20	8,000	5	0.75	VSD	258.9	197.3	80/67	400	324	2	2,000	10.0	12.3	81.0
RTU-2	20	8,000	5	0.75	VSD	258.9	197.3	80/67	400	324	2	2,000	10.0	12.3	81.0

- Notes:
- Units shall be furnished with comparative enthalpy economizer with barometric relief.
 - Units shall be furnished with 14" high insulated (R-8) roof curb.
 - Units shall be controlled by the building automation system.
 - Outdoor air supply and exhaust openings shall be furnished with motorized dampers with a maximum leakage rate of 4 cfm/ft at 1.0" water gauge.

Boiler Schedule			
ID	Description	Max Input (MBH)	AHRI Rating (MBH)
B-1	Direct Vent Condensing Boiler	500	409

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Sample Commercial Project

Mechanical Notes/Details

- Heat loss and cooling load calculations are provided.
- Building automation system shall control HVAC system functions and schedule.
- HVAC shall be low-pressure variable-air-volume system with parallel fan powered boxes with hot water coils.
- Perimeter hot water baseboard shall be provided as shown on drawings.

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Sample Commercial Project

Mechanical Notes/Details

- Ducts, air handlers and filter boxes shall be sealed.
- 2" of insulation with conductivity of 0.27 shall be installed on all heating pipes.
- Air systems and hydronic systems shall be adjusted and balanced.

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Sample Commercial Project

Mechanical Notes/Details

- Commissioning plan for the mechanical system shall be followed.
- Preliminary commissioning report shall be given to owner's representative before final inspection by the building official.
- As built drawings including performance data shall be provided.
- Operations manuals and maintenance manuals shall be provided.

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Sample Commercial Project
Service Water Schedules/Notes

Water Heaters				
ID	Description	Heating Capacity (gpm)	Temp Rise (°F)	Thermal Efficiency
WH-1	Tankless Electric	0.32	50	98%

- ½” insulation with a conductivity of 0.27 shall be installed on hot water pipes between instantaneous water heater and faucet.

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Sample Commercial Project
Interior Lighting Schedules/Notes/Details

Lighting Fixture Schedule						
ID	Description	Lamp	Ballast		Ballast Factor	Input
	No	Type	Series	Type		
1	2x4RecessedIndirect Troffer	2 F32T8NP	800	PremiumElectronic	0.88	56
2a	2x2RecessedIndirect Troffer	2 F17T8NP	800	PremiumElectronic	0.88	30
2b	2x2RecessedIndirect Troffer	2 F17T8NP	800	PremiumElectronic	0.88	30
3	6"Downlight	1 LEDLow				16
4	1x4WallMount	1 F32T8NP	800	PremiumElectronic	0.88	28

- Luminaires in conference/meeting rooms, lunch/break rooms private offices, restrooms and storage rooms shall be controlled by occupancy sensors.
- Controls in all other spaces, except corridors, shall allow for manual reduction of lighting load by at least 50 percent.
- Task lighting shall have automatic occupancy sensor controls.

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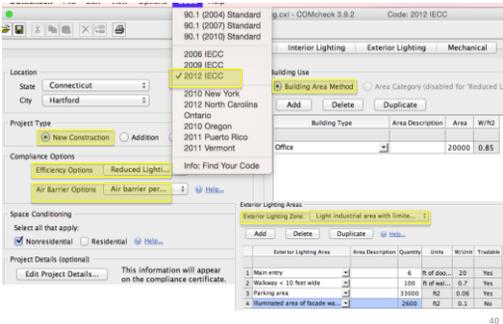
Sample Commercial Project
Exterior Lighting Schedules/Notes/Details

Exterior Lighting Fixture Schedule			
ID	Description	Lamp Type	Wattage
1	Wall Pack	LED	20
2	Ballard	LED	25
3	Parking Area	LED	67
4	Façade Lighting	Pulse Start Ceramic Metal Halide	75

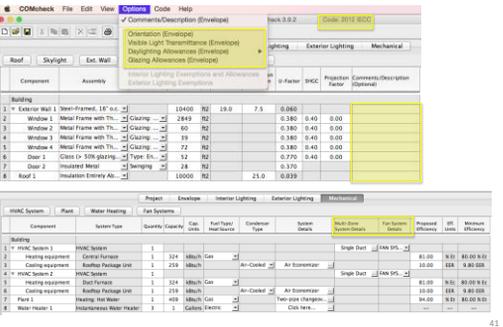
- Exterior lighting shall be controlled by an astronomical time switch

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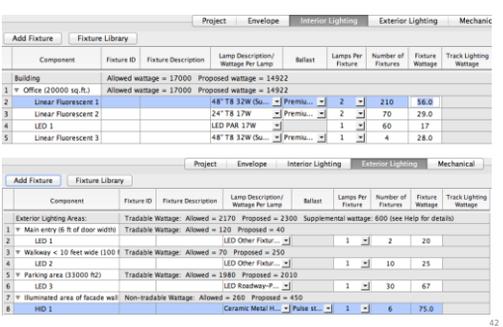
Sample Commercial Project COMcheck Inputs



Sample Commercial Project COMcheck Inputs



Sample Commercial Project COMcheck Inputs



Sample Commercial Project COMcheck Report



Section 2: General Information

Building Location (for weather data): Hartford, Connecticut
 Climate Zone: 6a
 Building Type for Envelope Requirements: Nonresidential
 Vertical Glazing / Wall Area Pct: 30%
 Building ID#: 0000
 Floor Area: 35000

Section 3: Requirements Checklist

Envelope PASSED: Usage is 1% below then code.

Climate-Specific Requirements:

Component Name/Description	Gross Area or Perimeter	Code R-Value	Code U-Factor	Proposed R-Value	Proposed U-Factor	Budget
Exterior Wall 1: Steel Frame, 12" i.c.	10400	19.0	0.053	0.060	0.064	—
Window 1: Metal Frame with Thermal Break Double Panel, Clear, Film, SHGC 0.40	2960	—	—	0.360	0.360	—
Window 2: Metal Frame with Thermal Break Double Panel, Clear, Film, SHGC 0.40	80	—	—	0.360	0.360	—
Window 3: Metal Frame with Thermal Break Double Panel, Clear, Film, SHGC 0.40	39	—	—	0.360	0.360	—
Window 4: Metal Frame with Thermal Break Double Panel, Clear, Film, SHGC 0.40	72	—	—	0.360	0.360	—
Door 1: Glass > 50% glazing/Metal Frame, Entrance Door, SHGC 0.40	50	—	—	0.770	0.360	—
Door 2: Insulated Metal, Sidelight	28	—	—	0.370	0.370	—
Roof 1: Insulation Entirely Above Deck	10000	—	—	0.039	0.039	—

(a) Budget U-factors are used for software baseline calculations ONLY, and are not code requirements.

Air Leakage, Component Certification, and Vapor Retarder Requirements:

- 1. Continuous air barrier is provided throughout the building thermal envelope.
- 2. Air barrier joints and seams are sealed. The joints and seals are securely installed in or on the joint for its entire length.
- 3. Penetrations of the air barrier and paths of air leakage are caulked, gasketed or otherwise sealed in a manner compatible with the construction materials and location. Joints and seals are installed in the same manner or taped or covered with a moisture vapor permeable wrapping material. The joints and seals are securely installed in or on the joint for its entire length.
- 4. The air barrier is continuous for all assemblies that are the thermal envelope and across the joints and assemblies.
- 5. Recessed lighting fixtures installed in the building envelope are Type IC rated as meeting ASTM E263, tested to $\leq 0.2\text{ dft}$, and are sealed with gasket or caulk.
- 6. Materials meeting up the air barrier have air permeability $\leq 0.04\text{ cm}^2$ or are qualifying materials as per Section C402.4.1.2.1.
- 7. Air leakage of fenestration: Window-on-glazed sliding and swinging doors/sidelights with no weepage openings $\leq 0.20\text{ cm}^2$; sidelights with weepage $\leq 0.30\text{ cm}^2$; Curtain wall/sturford glazing $\leq 0.06\text{ cm}^2$; Doors: glazed swinging entrance/revolving/rotating $\leq 1.00\text{ cm}^2$; Doors: garage $\leq 0.40\text{ cm}^2$.

Exceptions:

- Field fabricated assemblies.

- 8. Doors and access openings from conditioned space to shafts, chutes stairways and elevator lobbies are gasketed, weatherstripped or sealed.

Exceptions:

- Door openings required to comply with International Building Code as per Section C402.4.4.

- 9. Stairway and shaft vents are provided with Class I motorized dampers with a leakage rate $\leq 4\text{ cm}^2$. Dampers are installed with controls so that they are capable of automatically opening upon activation of any fire alarm or the interruption of power to the damper.
- 10. Outdoor air supply and exhaust openings are provided with Class IA motorized dampers having a leakage rate $\leq 4\text{ cm}^2$.

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Sample Commercial Project COMcheck Report



Air Leakage, Component Certification, and Vapor Retarder Requirements:

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Sample Commercial Project COMcheck Report



Section 2: Exterior Lighting Area/Surface Power Calculation

A Exterior Area/Surface	B Quantity	C Allowed Watts / Unit	D Tradeable Wattage	E Allowed Proposed Watts (B x C)	F Proposed Watts
Main entry	6 ft of door width	30	Yes	180	40
Walkway - 10 feet wide	100 ft of walkway length	0.7	Yes	70	200
Parking area	3300 sq ft	0.06	Yes	198	2000
Unshaded area of facade wall or surface	3600 sq ft	0.1	No	360	450
Total Tradeable Watts** =				2170	2300
Total Allowed Watts =				2430	
Total Allowed Supplemental Watts** =				600	

* Wattage tradeoffs are only allowed between tradeable areas/surfaces.
 ** A supplemental allowance equal to 600 watts may be applied toward compliance of both non-tradeable and tradeable areas/surfaces.

Section 3: Exterior Lighting Fixture Schedule

A Fixture ID / Description / Lamp / Wattage Per Lamp / Ballast	B Lamp(s)	C # of Fixtures	D E Fixture (C x D)
Main entry (6 ft of door width): Tradeable Wattage			
LED 1: LED Other Fixture Unit 40W	1	2	20
Walkway - 10 feet wide (100 ft of walkway length): Tradeable Wattage			
LED 2: LED Other Fixture Unit 20W	1	10	20
Parking area (3300 sq ft): Tradeable Wattage			
LED 3: LED Roadway-Parking Unit 67W	1	30	2010
Unshaded area of facade wall or surface (3600 sq ft): Non-tradeable Wattage			
LED 1: Compact Metal Halide, Flood spot	1	6	75
Total Tradeable Proposed Watts = 2300			

Section 4: Requirements Checklist

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Sample Commercial Project AIA CT Sample Documentation

Section C402			
Building Envelope (Climate Zone 5A)			
Climate Conditioning Category (Commercial or Residential)			
Glazed Roof Area		Not Allowed	10,000
Roofs - Minimum Insulation R-Value	Insulation Family	20x	20x
Walls - Above-Grade, Minimum Insulation R-Value	Above-Grade	R-11 - R-5.5x2	R-10* - R-5.5x2
Doors - Slab-On-Grade, Minimum Insulation R-Value	Unheated Slab	R-10 for 24"	R-10 for 24"
Windows - Maximum Assembly U-Factor	Windowing	0.37	0.37
Glazed Wall Area			10,400
Total Vertical Fenestration Area			10,400
Vertical Glazing - Maximum Assembly U-Factor	Glazing	0.37	0.37
Vertical Glazing - Percent of Roof Area		10%	29.3%
Maximum Assembly Solar Heat Gain Coefficient	Low-E Finestration	0.38	0.38
	Ferrous Door	0.79	0.79
	Low-E Finestration	0.40	0.40
	Ferrous Door	0.40	0.40
Total Skylight Area			0
Skylight - Percent of Roof Area		0%	0%
AC Ratings			
Construction	Compliance with Sealed Joints and Details	Required	Specified, see drawing M-xx
Compliance Option	Minutely	Required as Listed	Specified, see drawing M-xx
Penetration Sealing	Classified, Gasketed or otherwise Sealed	Required	Specified, see drawing M-xx
Maximum Assembly Air Infiltration Rates	Windows	0.20 cfm/ft ²	0.20 cfm/ft ²
	Commercial Doors	0.20 cfm/ft ²	0.20 cfm/ft ²
	Swinging Entrance Doors	1.00 cfm/ft ²	1.00 cfm/ft ²
Doors - Maximum Leakage Rate	Exterior Doors	20 cfm/ft ²	20 cfm/ft ²
Outdoor Air Intakes and Exhausts	Opening Devices	1.00 cfm/ft ²	Specified
Weatherstripping	Weatherstripping	Required	Specified, see drawing M-xx
Devices	Devices	Required	Specified, see drawing M-xx

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Sample Commercial Project AIA CT Sample Documentation

Section C403			
Heating, Ventilating and Air Conditioning			
Calculated Load			
Equipment Output Capacity	CRU provides all air heating	Heating	100 Million
		Cooling	72.5 Million
		Heating	420 Million
		Cooling	171.5 Million
HVAC Equipment Performance			
Unitary Air Conditioners, Invertically Operated, Minimum Efficiency			
Air Cooled with Other Than Electric Heating	(111,000 Btu/h and < 250,000 Btu/h)	10.0 EER / 11.0 EER	
Warm Air Furnaces, Minimum Efficiency	Gas Fired (250,000 Btu/h and < 500,000 Btu/h)	100 EER / 10.1 EER	250 FWHB / 10.1 EER (1.2) / 10.0 EER
Boilers, Hot Water, Minimum Efficiency	Gas Fired (> 100,000 Btu/h and < 1,000,000 Btu/h)	80%	81%
Compliance			
Airside, with Relief of Excess Outdoor Air Capacity	Rooftop AC Units	Required on System > 10,000 Btu/h	Specified, see drawing M-xx
HVAC System Control			
Zone Thermostatic Control	Each Zone	Required	Specified, see drawing M-xx
Independent Parameter System Thermostatic Control	Each Exposure	Required	Specified, see drawing M-xx
Schedule Dashboard (Overlap Restriction)	400 Thermostats	Required	Specified, see drawing M-xx
Automated Off-Hour Ventilation and Shutdown Zone Control	Controls	400 Disabled	0 Disabled
	400 Enabled	0 Enabled	0 Enabled
	7 different daily schedules per	7 different daily schedules per	7 different daily schedules per
	Schedule	Schedule	Schedule
	Maintenance During Power Failure	10 Hour Battery	Specified, see drawing M-xx
Automatic Start Control	Manual Controls	Required	Specified, see drawing M-xx
Automatic Zone Supply and Exhaust Damper Closure Control		Required	See Sequence of Operation

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Sample Commercial Project AIA CT Sample Documentation

Economizer Cycle Controls			
Allowed Type Integrated AHD Equipment		First In, Defeated by First Exhaust, Exhaust Controls	Differential Enable
High-5-min Airside Demand		First In, Defeated by First Exhaust, Exhaust Controls, Defeat-to-Exhaust	Differential Enable
Ventilation System Controls			
Ventilation Damper Controls	In-Rooftop Units	Required	See Sequence of Operation
Shutoff Damper Controls	AVSD Boxes	Required	See Sequence of Operation
Rate	AVSD Boxes	Required by spec 4 cfm/ft ²	4 cfm/ft ²
Demand Control Ventilation		Required by spec 100 ft ³ / h Avg (Account for 213 People per 1,000 ft ²)	N/A
HVAC System Insulation and Sealing			
Insulation For Supply Duct, R-Value	In Unconditioned Space	R-6	N/A
Insulation For Return Duct, R-Value	In Unconditioned Space	R-6	N/A
Building Envelope and Duct Insulation		R-6	Specified, see drawing M-xx
Separating Duct or Plenum From Exterior		Required	Specified
Duct Sealing		Required per High-Pressure Duct	N/A
Duct Joint Requirements		First Operating Temperature (F) / Maximum Duct Size	
Pipe Insulation for Heating and Cooling Systems, Conductivity / Minimum Thickness		1.5" / 0.25-0.293	Specified, see drawing M-xx
		1.5" / 0.25-0.293	Specified, see drawing M-xx

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Sample Commercial Project
AIA CT Sample Documentation

Exhaust Air Energy Recovery System				
Recirculation Volume at Full Design	≥30% and ≥60% of		Required	N/A 25% OIA
Supply Fan Airflow Rate	≤5.00 cfm			
Maximum Allowable Fan Horsepower (Nameplate Horsepower Option)	Variable Volume	hp = CFM x 0.0005		Specified 2 hp, 12
Variable Air Volume System Controls				
Variable Flow Control			Required	See Sequence of Operation
DDC Static Pressure Reset Control			Required	See Sequence of Operation
Supply Air Temperature Reset Control			Required	See Sequence of Operation
Air Reheating, Recirculating and Mixing Limitations			Required	See Sequence of Operation
Hydrom				
Water Temperature Reset Controls			Required or Flow Control	See Sequence of Operation
System			≤25%	None
Mechanical Systems Commissioning and Completion Requirements in Section C408.2			Required	Specified

Section C404
Service Water Heating

Water Heating Equipment, Minimum Performance				
Electric Resistance - Storage	≤12 kW			N/A for Instantaneous Water Heater
Pipe Insulation for Service Hot Water Systems, Conductivity / Thickness	Non Temperature Maintenance Systems	1" / 0.27 k for first 8'		Specified, see drawing M-xx

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Sample Commercial Project
AIA CT Sample Documentation

Section C405
Electrical Power and Lighting

Building Type				Office
Gross Lighted Floor Area				20,000
Interior Lighting Power Allowance	Allowance	17,000		
	Connected			16,412
Equivalent Interior Lighting Power Density by Building Area Method			0.85	0.82
Interior Lighting Controls				
Enclosed Area Lighting Controls	Each Enclosed Area	Manual Switching		
Light Reduction Controls	Entire Building	Required to Achieve ≥50% load reduction		Specified, see Drawing E-yy
Automatic Building Time Switch Controls	Entire Building	All Except One Controlled by Occupancy Sensing Device		Specified, see Drawing E-yy
Occupancy Sensors in Required Areas (Manual On or Automatic On to 50% Power)	Conference/Meeting Rooms Employee Lunch & Break Rooms Private Offices Reception Storage Rooms Entrance/Exit Spaces ≥100 sq Ft, enclosed by Floor-to-Ceiling Height Partitions	Required		Specified, see Drawing E-yy
Daylight Zone Controls	Manual Controls	Required		Specified, see Drawing E-yy
Specific Application Controls	Supplemental Task Lighting	Required		Specified

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Luminaire Tundra Wiring	High Frequency Ballast	Exception	
Exit Sign, Maximum Wattage per Face		5 watts	3 watts
Exterior Lighting Zone (1, 2, 3, or 4)		2	2
Exterior Lighting Power			
Total Exterior Lighting Power	Allowance	3,030	2,430
Connect			2,430
Tandable Surface Exterior Lighting Power (with 410 watts of the 600 watts base allowance)	Allowance	2,580	2,300
Connect			2,300
Non-Tandable Surface Exterior Lighting Power (with 190 watts base allowance)	Allowance	450	450
Connect			450
Exterior Lighting			
Automatic Exterior Lighting Control		Photosensor & Time Switch or Astronomical Time Switch	Astronomical Time Switch
Dark-to-Dawn Operation			Specified, see Drawing E-yy
Minimum Lamp Efficacy	≥100 watts	60 Lumens per watt	

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